



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

**Keohane et al.**

Serial No: **10/809,551**

Filed: **March 25, 2004**

Title: **Grid Mutual Authorization Through  
Proxy Certificate Generation**

Group Art Unit: **2131**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

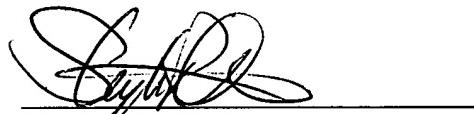
The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

The undersigned certifies that this Supplemental IDS is being submitted:

- a) within three months of the filing of a national application
- b) within three months of entering national stage
- c) before mailing of a first Office Action on the merits; or
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In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,



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§ Group Art Unit: **2131**  
§  
§ Examiner: **Unknown**  
§  
§ Attorney Docket No.: **AUS920040031US1**  
§

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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Copy of International Search Report;
- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AD; and
- Our return postcard.

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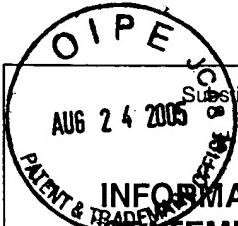
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT****Complete if Known**

Application Number	10/809,551
Filing Date	March 25, 2004
First Named Inventor	Keohane et al.
Examiner Name	Unknown
Art Unit	2131
Attorney Docket No.	AUS920040031US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	EP1282289 A2	Feb. 5, 2003	Yeager	

**NON-PATENT LITERATURE**

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
AB	Low et al., "Self Authenticating Proxies", Computer Journal, Oxford University Press, Surrey, GB, Vol. 37, no. 5, January 1994, pp. 422-428.		
AC	Gasser et al., "An Architecture for Practical Delegation in a Distributed System", Proceedings of the Symposium on Research in Security and Privacy, Oakland, May 1990, IEEE Computer Society Press, US, Vol. Symp. 11, pp. 20-30.		
AD	Ding et al., "A new approach for delegation using hierarchical delegation tokens", Technical Report TR-95-5-E, April 1995, pp. 1-14.		

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.